PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Inder the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known titute for form 1449A/PTO 09/295,607 **Application Number** ORMATION DISCLOSURE April 22, 1999 Filing Date TEMENT BY APPLICANT Shunpei YAMAZAKI et al. First Named Inventor (use as many sheets as necessary) 2811 Group Art Unit S. Loke Examiner Name Attorney Docket Number 740756-001961

Examiner Initials	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		Number	Kind Code ² (if known)	Document	WWW-DD-1111		
Loke		4,318,216		Hsu	3/9/82		
Loke		4,675,978		Swartz	6/30/87		
Loke		5,064,775		Chang	11/12/91		
Loke		5,397,718		Furuta et al.	3/14/95		
Loke		5,899,547		yamazaki et al.	5/4/99		

			FO	DREIGN PATENT DOC	UMENTS		
Cite No. ¹			Kind Code ³	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	т^
	ļ.,			Yamazaki et al.	9/22/1987		Abstract
1	JP			Matsueda	6/20/1989		Abstract
<u> </u>	JP	61-141174		Takeshita et ak,	6/28/1986		Full
	JP	53-027483		Shimada et al.	3/14/1978		Abstract
	1						
•	· ·	ОТН	ER PRIOR	ART – NON PATENT LITE	RATURE DOCUMENTS	,	
Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.)., date, page(s), volume-issue number(s), publisher, city and/or country where published.						
	Wolf et al., "Silicon Processing for the VLSI ERA", Vol. 1, pp. 175-180 and 323-325						
				BES	TAVAILAB	LE COPY	
			• "			*	
	No. 1	No.¹ Office³ JP JP JP JP Cite No.¹	No.1 Office3 Number4 JP 62-216271 JP 01-156725 JP 61-141174 JP 53-027483 OTH Cite No.1 Include nan item (book,	Cite No. Foreign Patent Document	Cite No. 1 Foreign Patent Document Office 3 Number 4 (if known) Name of Patentee or Applicant of Cited Document Name of Patentee or Applicant of Cited Document Yamazaki et al. JP 01-156725 Matsueda JP 61-141174 Takeshita et ak, JP 53-027483 Shimada et al. OTHER PRIOR ART – NON PATENT LITE Cite No. 1 Include name of the author (in CAPITAL LETTERS), item (book, magazine, journal, serial, symposium, catal publisher, city and/or county wolf et al., "Silicon Processing for the VLSI Electrons and the state of the VLSI Electrons and the state of the VLSI Electrons and the value of the v	No. No. Number Kind Code Office Number (if known) JP 62-216271 Yamazaki et al. 9/22/1987 JP 01-156725 Matsueda 6/20/1989 JP 61-141174 Takeshita et ak, 6/28/1986 JP 53-027483 Shimada et al. 3/14/1978 OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS Cite No. Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate (book, magazine, journal, serial, symposium, catalog, etc.)., date, page(s), volume publisher, city and/or country where published. Wolf et al., "Silicon Processing for the VLSI ERA", Vol. 1, pp. 175-1	Cite No. 1 Foreign Patent Document Name of Patentee or Applicant of Cited Document MM-DD-YYYY Where Relevant Passages or Relevant Pigures Appear

Examiner		Date	7/17/1	
Signature	Loke	Considered	5/ <i>13/0</i> /	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

Form PTO-1449 (Rev. 8-83) U.S.Department of Commerce Patent and Trademark Office

Atty D cket 0756-1961

Serial No. 09/295,607

INFORMATION DISCLOSURE STATEMENT

Applicants: Shunpei YAMAZAKI et al.

Group art Unit. 2007

Filing Date: April 22, 1999

FOREIGN PATENT DOCUMENTS

11								
Examiner Initial	Document Number	Date	Country	Class	Subclass	Yes	ion No	
Loke	59-121876	07/14/1984	JP			Full Eng		

BEST AVAILABLE COPY

RECORIVED
FILE -0 101
TO 2000 HAIL ROOM

Examiner

Loke

Date Considered

3/13/01

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this formwith next communication to applicant.

						Sheet	1 of	
Form PTO-1449 (Rev. 8-83) Department of Commerce Patent and Trademark Office			Atty Docket 0756-		Serial No. 0	9/295,607		
INFO	DEMASJON DISCOPSURE ST	ATEMENT	Applicants: Shunpei YAMAZAKI et al.					
	DRMARION DISCOOSURE ST	Filing Date: April 22, 1	Group Art Unit: 2811					
	ADEMARK OFFICE	U.S. PATENT	DOCUMENTS					
Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Da		
Loke	5,132,754	07/21/1992	Serikawa et al.					
Loke	5,696,386	12/09/1997	Yamazaki					
		FOREIGN PATEN	NT DOCUMENTS					
Examiner Initial	Document Number	Date	Country	Class	Subclass	<u>Translation</u> Yes	on No	
Loke	01-156725	06/20/1989	JP			Full Eng		
Loke	53-027483	03/14/1978	JP			Full Eng		
- 11								

BEST AVAILABLE COPY

3/13/01 Loke Date Considered Examiner

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this formwith next communication to applicant.